

# **Code of Conduct**

ADM-POL-003
01/19/2023
_Rev1
1 of 9

# **Anokiwave Code of Conduct**

Dear Anokiwave Team Members and Business Partners,

Anokiwave's mission is to leverage our strong design capabilities to achieve the smallest, lowest cost, and best performing integrated circuits for millimeter wave technology.

Conducting business in a responsible and sustainable way and fostering a culture of integrity based on transparency, compliance, and ethical business practices is fundamental to the way we do business. We expect the same from our Business Partners (entity, individual or organization including, but not limited to suppliers, consultants, representatives, or any other party involved in activities with Anokiwave). This Code of Conduct specifies the requirements to which all Anokiwave employees as well as our Business Partners must comply when doing business with us. Business Partners must select suppliers and subcontractors that comply with the Code. It is the responsibility of Business Partners to ensure their employees are adequately trained on requirements covered in this Code. Business Partners must inform Anokiwave within reasonable timeframe if they discover a significant, and/or material break of the Code.

I place great focus on ensuring we all conduct business with integrity and that our team and our partners are equipped with the proper tools to do that.

Anokiwave is committed to compliance and to the continual improvement of the areas addressed in this Code. The structure and content of the Code follows the Responsible Business Alliance (RBA) Code of Conduct, which establishes standards to ensure that working conditions in the electronics industry, or industries in which electronics are a key component, and its supply chains, are safe; that workers are treated with dignity and respect; and that business operations are environmentally responsible and conducted ethically.

Our employees and suppliers are encouraged to report good faith concerns regarding suspected violations of applicable laws or the Code to <a href="https://manokiwave.com">https://manokiwave.com</a> and/or to the COO. Anokiwave will handle such concerns in accordance with applicable regulations. We are committed to investigating all credible reports of potential compliance violations made in good faith. Anokiwave prohibits any discrimination or retaliation against individuals who report suspected violations in good faith.

Anokiwave reserves the rights to verify compliance with the requirements set in the Code by a combination of mechanisms including but not limited to, securing Business Partner self-assessments, surveys, site-visits, or audits. Business Partners must therefore maintain relevant records to demonstrate compliance and if necessary, allow access to their own and their Suppliers' and subcontractors' premises to Anokiwave's representatives.

Business Partners must within a reasonable timeframe and without undue delay inform Anokiwave if they discover a significant, and/or material breach of the Code or applicable legislation in their own or their Suppliers' or subcontractors' operations.

The Code is a vital part of Anokiwave's supplier agreements. When a Business Partner does not comply with the Code, oversight must be applied to manage risk levels, to secure high quality, as well as to protect Anokiwave. Significant and/or reoccurring breaches, without corrective and remediating actions, and/or lack of cooperation is considered a material breach of contract and could constitute the right to termination of the contract by Anokiwave or reduced business opportunity.

Thank vou.

Robert S Donahue



# **Code of Conduct**

Document	ADM-POL-003
Revision Date	01/19/2023
Revision	_Rev1
Page	2 of 9

# **Table of Contents**

A.	ANTI-CORRUPTION AND BUSINESS ETHICS	3
1. 2. 3. 4.	BUSINESS INTEGRITY NO IMPROPER ADVANTAGE DISCLOSURE OF INFORMATION INTELLECTUAL PROPERTY	3 3
5.	FAIR BUSINESS, ADVERTISING AND COMPETITION	
6.	PROTECTION OF IDENTITY AND NON-RETALIATION	
7. 8.	RESPONSIBLE SOURCING OF MINERALS	
в.	HUMAN AND LABOR RIGHTS	
	Freely Chosen Employment	
1. 2.	CHILD LABOR	
3.	Working Hours	
4.	WAGES AND BENEFITS	
5.	HUMANE TREATMENT	
6.	Non-Discrimination/Non-Harassment	
7.	Freedom of Association	5
C.	HEALTH AND SAFETY	5
1.	OCCUPATIONAL SAFETY	
2.	EMERGENCY PREPAREDNESS	
3.	OCCUPATIONAL INJURY AND ILLNESS	
4.	INDUSTRIAL HYGIENE	
5. 6.	PHYSICALLY DEMANDING WORK	
7.	SANITATION, FOOD, AND HOUSING	
8.	HEALTH AND SAFETY COMMUNICATION	
D.	ENVIRONMENT	7
1.	Environmental Permits and Reporting	7
2.	POLLUTION PREVENTION AND RESOURCE REDUCTION	
3.	HAZARDOUS SUBSTANCES	
4.	SOLID WASTE	
5.	AIR EMISSIONS	
6. 7.	WATER MANAGEMENT	
8.	ENERGY CONSUMPTION AND GREENHOUSE GAS EMISSIONS	
Б.	MANAGEMENT SYSTEMS	
1.	COMPANY COMMITMENT	8
2.	MANAGEMENT ACCOUNTABILITY AND RESPONSIBILITY	
3.	LEGAL AND CUSTOMER REQUIREMENTS	
4.	RISK ASSESSMENT AND RISK MANAGEMENT	
5.	IMPROVEMENT OBJECTIVES	
6.	TRAINING	
7.	COMMUNICATION	
8. 9.	WORKER FEEDBACK, PARTICIPATION AND GRIEVANCE	
	CODDECTIVE ACTION PROCESS	ر ۵



# **Code of Conduct**

Document	ADM-POL-003
Revision Date	01/19/2023
Revision	_Rev1
Page	3 of 9

11.	DOCUMENTATION AND RECORDS	.9
12.	Supplier Responsibility	.9

#### A. ANTI-CORRUPTION AND BUSINESS ETHICS

Anokiwave prides itself in always conducting itself in an ethical manner. We expect and require that same commitment from our Business Partners.

### 1. Business Integrity

The highest standards of integrity are to be upheld in all business interactions. Anokiwave and its Business Partners ("Participants") must foster a culture of integrity based on transparency, compliance, and ethical business practices. All Participants shall have a zero-tolerance policy that prohibits any and all forms of bribery, corruption, extortion and embezzlement.

#### 2. No Improper Advantage

Bribes or other means of obtaining undue or improper advantage are not to be promised, offered, authorized, given, or accepted. This prohibition covers promising, offering, authorizing, giving, or accepting anything of value, either directly or indirectly through a third party, in order to obtain or retain business, direct business to any person, or otherwise gain an improper advantage. Monitoring, record keeping, and enforcement procedures shall be implemented to ensure compliance with anti-corruption laws.

#### 3. Disclosure of Information

All business dealings should be transparently performed and accurately reflected on the Business Partner's business books and records. Information regarding the Business Partner's labor, health and safety, environmental practices, business activities, structure, financial situation, and performance is to be disclosed in accordance with applicable regulations and prevailing industry practices and as agreed with Anokiwave. Falsification of records or misrepresentation of conditions or practices in the supply chain are unacceptable.

### 4. Intellectual Property

Intellectual property rights are to be respected, transfer of technology and know-how is to be done in a manner that protects intellectual property rights, and customer and supplier information is to be safeguarded.

### 5. Fair Business, Advertising and Competition

Applicable standards and acceptable practices of fair business, advertising, and competition are to be upheld.

#### 6. Protection of Identity and Non-Retaliation

Programs that ensure the confidentiality, anonymity, and protection of supplier and employee whistleblowers are to be maintained, unless prohibited by law. Participants should have a communicated process for their personnel to be able to raise any concerns without fear of retaliation.

### 7. Responsible Sourcing of Minerals

Participants shall adopt a policy and exercise due diligence on the source and chain of custody of the tantalum, tin, tungsten, and gold in the products they manufacture to reasonably assure that they are sourced



# **Code of Conduct**

ADM-POL-003
01/19/2023
_Rev1
4 of 9

in a way consistent with the Organization for Economic Co-operation and Development (OECD) Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas or an equivalent and recognized due diligence framework.

### 8. Privacy

Participants are to commit to protecting the reasonable privacy expectations of personal information of everyone they do business with, including suppliers, customers, consumers, and employees. Participants are to comply with privacy and information security laws and regulatory requirements when personal information is collected, stored, processed, transmitted, and shared.

### B. HUMAN AND LABOR RIGHTS

Participants are committed to uphold the human rights of workers, and to treat them with dignity and respect as understood by the international community. This applies to all workers including temporary, migrant, student, contract, direct employees, and any other type of worker.

#### 1. Freely Chosen Employment

Forced, bonded (including debt bondage) or indentured labor, involuntary or exploitative prison labor, slavery or trafficking of persons is not permitted. This includes transporting, harboring, recruiting, transferring, or receiving persons by means of threat, force, coercion, abduction or fraud for labor or services. There shall be no unreasonable restrictions on workers' freedom of movement in the facility in addition to unreasonable restrictions on entering or exiting company-provided facilities including, if applicable, workers' dormitories or living quarters. As part of the hiring process, all workers must be provided with a written employment agreement in their native language that contains a description of terms and conditions of employment. Foreign migrant workers must receive the employment agreement prior to the worker departing from his or her country of origin and there shall be no substitution or change(s) allowed in the employment agreement upon arrival in the receiving country unless these changes are made to meet local law and provide equal or better terms. All work must be voluntary, and workers shall be free to leave work at any time or terminate their employment without penalty if reasonable notice is given as per worker's contract. Employers, agents, and sub-agents' may not hold or otherwise destroy, conceal, or confiscate identity or immigration documents, such as government-issued identification, passports, or work permits. Employers can only hold documentation if such holdings are required by law. In this case, at no time should workers be denied access to their documents. Workers shall not be required to pay employers' agents or sub-agents' recruitment fees or other related fees for their employment. If any such fees are found to have been paid by workers, such fees shall be repaid to the worker.

#### 2. Child Labor

Child labor is not to be used in any stage of manufacturing. The term "child" refers to any person under the age of 15, or under the age for completing compulsory education, or under the minimum age for employment in the country, whichever is greatest. Participants shall implement an appropriate mechanism to verify the age of workers. The use of legitimate workplace learning programs, which comply with all laws and regulations, is supported. Workers under the age of 18 (Young Workers) shall not perform work that is likely to jeopardize their health or safety, including night shifts and overtime. Participants shall ensure proper management of student workers through proper maintenance of student records, rigorous due diligence of educational partners, and protection of students' rights in accordance with applicable laws and regulations. Participants shall provide appropriate support and training to all student workers. In the absence of local law, the wage rate for student workers, interns, and apprentices shall be at least the same wage rate



# **Code of Conduct**

Document	ADM-POL-003
Revision Date	01/19/2023
Revision	_Rev1
Page	5 of 9

as other entry-level workers performing equal or similar tasks. If child labor is identified, assistance/remediation is provided.

## 3. Working Hours

Studies of business practices clearly link worker strain to reduced productivity, increased turnover, and increased injury and illness. Working hours are not to exceed the maximum set by local law. Further, a workweek should not be more than 60 hours per week, including overtime, except in emergency or unusual situations. All overtime must be voluntary. Workers shall be allowed at least one day off every seven days.

#### 4. Wages and Benefits

Compensation paid to workers shall comply with all applicable wage laws, including those relating to minimum wages, overtime hours and legally mandated benefits. In compliance with local laws, workers shall be compensated for overtime at pay rates greater than regular hourly rates. Deductions from wages as a disciplinary measure shall not be permitted. For each pay period, workers shall be provided with a timely and understandable wage statement that includes sufficient information to verify accurate compensation for work performed. All use of temporary, dispatch and outsourced labor will be within the limits of the local law.

Business Partners must conduct an analysis based on living wage standards to map potential gaps and demonstrate a strategy to advance wages and show progress over time.

#### 5. Humane Treatment

There is to be no harsh or inhumane treatment including violence, gender-based violence, sexual harassment, sexual abuse, corporal punishment, mental or physical coercion, bullying, public shaming, or verbal abuse of workers; nor is there to be the threat of any such treatment. Disciplinary policies and procedures in support of these requirements shall be clearly defined and communicated to workers.

### 6. Non-Discrimination/Non-Harassment

Participants should be committed to a workplace free of harassment and unlawful discrimination. Companies shall not engage in discrimination or harassment based on race, color, age, gender, sexual orientation, gender identity and expression, ethnicity or national origin, disability, pregnancy, religion, political affiliation, union membership, covered veteran status, protected genetic information or marital status in hiring and employment practices such as wages, promotions, rewards, and access to training. Workers shall be provided with reasonable accommodation for religious practices. In addition, workers or potential workers should not be subjected to medical tests, including pregnancy or virginity tests, or physical exams that could be used in a discriminatory way.

#### 7. Freedom of Association

In conformance with local law, participants shall respect the right of all workers to form and join trade unions of their own choosing, to bargain collectively, and to engage in peaceful assembly as well as respect the right of workers to refrain from such activities. Workers and/or their representatives shall be able to openly communicate and share ideas and concerns with management regarding working conditions and management practices without fear of discrimination, reprisal, intimidation, or harassment.

# C. HEALTH AND SAFETY



# **Code of Conduct**

Document	ADM-POL-003
Revision Date	01/19/2023
Revision	_Rev1
Page	6 of 9

Participants recognize that in addition to minimizing the incidence of work-related injury and illness, a safe and healthy work environment enhances the quality of products and services, consistency of production and worker retention and morale. Participants also recognize that ongoing worker input and education are essential to identifying and solving health and safety issues in the workplace. A healthy and safe working environment, including psychosocial considerations must be provided for Employees.

### 1. Occupational Safety

Worker potential for exposure to health and safety hazards (chemical, electrical and other energy sources, fire, vehicles, and fall hazards, etc.) are to be identified and assessed, mitigated using the Hierarchy of Controls, which includes eliminating the hazard, substituting processes or materials, controlling through proper design, implementing engineering and administrative controls, preventative maintenance and safe work procedures (including lockout/tagout), and providing ongoing occupational health and safety training. Where hazards cannot be adequately controlled by these means, workers are to be provided with appropriate, well-maintained, personal protective equipment, and educational materials about risks to them associated with these hazards. Reasonable steps must also be taken to remove pregnant women and 'nursing mothers from working conditions with high hazards, remove or reduce any workplace health and safety risks to pregnant women and nursing mothers, including those associated with their work assignments, and provide reasonable accommodations for nursing mothers.

### 2. Emergency Preparedness

Potential emergency situations and events are to be identified and assessed, and their impact minimized by implementing emergency plans and response procedures including emergency reporting, employee notification and evacuation procedures, worker training, and drills. Emergency drills must be executed at least annually or as required by local law, whichever is more stringent. Emergency plans should also include appropriate fire detection and suppression equipment, clear and unobstructed egress, adequate exit facilities, contact information for emergency responders, and recovery plans. Such plans and procedures shall focus on minimizing harm to life, the environment, and property.

#### 3. Occupational Injury and Illness

Procedures and systems are to be in place to prevent, manage, track and report occupational injury and illness, including provisions to encourage worker reporting, classify and record injury and illness cases, provide necessary medical treatment, investigate cases and implement corrective actions to eliminate their causes, and facilitate the return of workers to work.

### 4. Industrial Hygiene

Worker exposure to chemical, biological, and physical agents is to be identified, evaluated, and controlled according to the Hierarchy of Controls. If any potential hazards were identified, participants shall look for opportunities to eliminate and/or reduce the potential hazards. If elimination or reduction of the hazards is not feasible, potential hazards are to be controlled through proper design, engineering, and administrative controls. When hazards cannot be adequately controlled by such means, workers are to be provided with and use appropriate, well-maintained, personal protective equipment free of charge. Protective programs shall be ongoing and include educational materials about the risks associated with these hazards.

#### 5. Physically Demanding Work

Worker exposure to the hazards of physically demanding tasks, including manual material handling and heavy or repetitive lifting, prolonged standing, and highly repetitive or forceful assembly tasks is to be identified, evaluated, and controlled.



# **Code of Conduct**

Document	ADM-POL-003
Revision Date	01/19/2023
Revision	_Rev1
Page	7 of 9

### 6. Machine Safeguarding

Production and other machinery shall be evaluated for safety hazards. Physical guards, interlocks, and barriers are to be provided and properly maintained where machinery presents an injury hazard to workers.

### 7. Sanitation, Food, and Housing

Workers are to be provided with ready access to clean toilet facilities, potable water, and sanitary food preparation, storage, and eating facilities. If worker dormitories are provided by the Business Partners or a by a labor agent, they are to be maintained clean and safe, and be provided with appropriate emergency egress, hot water for bathing and showering, adequate lighting and heat and ventilation, individually secured accommodations for storing personal and valuable items, and reasonable personal space along with reasonable entry and exit privileges.

### 8. Health and Safety Communication

Business Partners shall provide workers with appropriate workplace health and safety information and training in the language of the worker or in a language the worker can understand for all identified workplace hazards that workers are exposed to, including but not limited to mechanical, electrical, chemical, fire, and physical hazards. Health and safety related information shall be clearly posted in the facility or placed in a location identifiable and accessible by workers. Training is provided to all workers prior to the beginning of work and regularly thereafter. Workers shall be encouraged to raise any health and safety concerns without retaliation.

### D. ENVIRONMENT

Business Partners recognize that environmental responsibility is integral to producing world-class products. Business Partners shall identify the environmental impacts and minimize adverse effects on the community, environment, and natural resources within their manufacturing operations, while safeguarding the health and safety of the public.

## The environmental standards are:

#### 1. Environmental Permits and Reporting

All required environmental permits (e.g. discharge monitoring), approvals, and registrations are to be obtained, maintained, and kept current and their operational and reporting requirements are to be followed.

#### 2. Pollution Prevention and Resource Reduction

Emissions and discharges of pollutants and generation of waste are to be minimized or eliminated at the source or by practices such as adding pollution control equipment; modifying production, maintenance, and facility processes; or by other means. The use of natural resources, including water, fossil fuels, minerals, and virgin forest products, is to be conserved by practices such as modifying production, maintenance and facility processes, materials substitution, re-use, conservation, recycling, or other means.

#### 3. Hazardous Substances

Chemicals, waste, and other materials posing a hazard to humans, or to the environment are to be identified, labeled, and managed to ensure their safe handling, movement, storage, use, recycling or reuse, and disposal.

#### 4. Solid Waste

Business Partners shall implement a systematic approach to identify, manage, reduce, and responsibly dispose of or recycle solid waste (non-hazardous).



# **Code of Conduct**

Document	ADM-POL-003
Revision Date	01/19/2023
Revision	_Rev1
Page	8 of 9

#### 5. Air Emissions

Air emissions of volatile organic chemicals, aerosols, corrosives, particulates, ozone depleting substances, and combustion byproducts generated from operations are to be characterized, routinely monitored, controlled, and treated as required prior to discharge. Ozone-depleting substances are to be effectively managed in accordance with the Montreal Protocol and applicable regulations. Participants shall conduct routine monitoring of the performance of its air emission control systems.

#### 6. Materials Restrictions

Participants are to adhere to all applicable laws, regulations, and customer requirements regarding the prohibition or restriction of specific substances in products and manufacturing, including labeling for recycling and disposal.

#### 7. Water Management

When applicable, Business Partners shall implement a water management program that documents, characterizes, and monitors water sources, use and discharge; seeks opportunities to conserve water; and controls channels of contamination. All wastewater is to be characterized, monitored, controlled, and treated as required prior to discharge or disposal. If applicable, Business Partners shall conduct routine monitoring of the performance of its wastewater treatment and containment systems to ensure optimal performance and regulatory compliance.

### 8. Energy Consumption and Greenhouse Gas Emissions

Participants are to establish a corporate-wide greenhouse gas reduction goal. Energy consumption and all relevant Scopes 1 and 2 greenhouse gas emissions are to be tracked, documented, and publicly reported against the greenhouse gas reduction goal. Participants are to look for methods to improve energy efficiency and to minimize their energy consumption and greenhouse gas emissions.

### E. MANAGEMENT SYSTEMS

Business Partners shall adopt or establish a management system with a scope that is related to the content of this Code. The management system shall be designed to ensure: (a) compliance with applicable laws, regulations and customer requirements related to the participant's operations and products; (b) conformance with this Code; and (c) identification and mitigation of operational risks related to this Code. It should also facilitate continual improvement.

The management system should contain the following elements:

#### 1. Company Commitment

Corporate social and environmental responsibility policy statements affirming Business Partner's commitment to compliance and continual improvement, endorsed by executive management, and posted in the facility in the local language.

## 2. Management Accountability and Responsibility

The Business Partner clearly identifies senior executive and company representative(s) responsible for ensuring implementation of the management systems and associated programs. Senior management reviews the status of the management systems on a regular basis.



# **Code of Conduct**

Document	ADM-POL-003
Revision Date	01/19/2023
Revision	_Rev1
Page	9 of 9

### 3. Legal and Customer Requirements

A process to identify, monitor and understand applicable laws, regulations, and customer requirements, including the requirements of this Code.

### 4. Risk Assessment and Risk Management

A process to identify the legal compliance, environmental, health and safety, labor practice, and ethics risks associated with the Business Partner's operations. Determination of the relative significance for each risk and implementation of appropriate procedural and physical controls to control the identified risks and ensure regulatory compliance.

# 5. Improvement Objectives

Written performance objectives, targets and implementation plans to improve the Business Partner's social, environmental, and health and safety performance, including a periodic assessment of Business Partner's performance in achieving those objectives.

### 6. Training

Programs for training managers and workers to implement Business Partner's policies, procedures, and improvement objectives and to meet applicable legal and regulatory requirements.

#### 7. Communication

A process for communicating clear and accurate information about Business Partner's policies, practices, expectations, and performance to workers, suppliers, and customers.

#### 8. Worker Feedback, Participation and Grievance

Ongoing processes, including an effective grievance mechanism, to assess workers' understanding of and obtain feedback on or violations against practices and conditions covered by this Code and to foster continuous improvement. Workers must be given a safe environment to provide grievance and feedback without fear of reprisal or retaliation.

#### 9. Audits and Assessments

Periodic self-evaluations to ensure conformity to legal and regulatory requirements, the content of the Code, and customer contractual requirements related to social and environmental responsibility.

#### 10. Corrective Action Process

A process for timely correction of deficiencies identified by internal or external assessments, inspections, investigations, and reviews.

#### 11. Documentation and Records

Creation and maintenance of documents and records to ensure regulatory compliance and conformity to company requirements along with appropriate confidentiality to protect privacy.

#### 12. Supplier Responsibility

A process to communicate Code requirements to suppliers and to monitor supplier compliance to the Code.